

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	1.00	31/08/2009	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best

Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

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Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	3.99	04/01/2013	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : ACCELATOR FUND

[ULIF00401/03/07ACCELATOR128] / 030-177711-601

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : CONSERVATOR GOLD

[ULIF00719/05/08CONSERGOLD128] / 030-391403-602

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : CONSERVATOR PLATINAM

[ULIF00919/05/08CONSPLATNM128] / 030-487235-601

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : CONSERVATOR

[ULIF00101/07/06CONSRVATOR128] / 019-656974-603

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : DISCONTINUED POLICY FUND

[ULIP01801/11/11DISCONTFND] / 030-219091-602

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer

Note:

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : GUARDIAN PLUS

Statement of Down Graded Investments

[ULIF01109/06/09GUARDNPLUS128] / 030-487235-602

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN

Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : GUARDIAN SHIELD

Statement of Down Graded Investments

[ULIF01009/06/09GUARDNSHLD128] / 030-676654-601

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN

Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : GUARDIAN [ULIF00201/07/06GUARDANFND128] /
019-790443-601

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : MAXIMUS GOLD

[ULIF00819/05/08MAXMUSGOLD128] / 030-391403-603

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : MAXIMUS PLUS

[ULIF01206/07/09MAXMUSPLUS128] / 030-487235-603

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Chief Finance Officer

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Name of Fund : MAXIMUS [ULIF00301/07/06MAXIMUSFND128] /
019-790443-602

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : SECURE PLUS

[ULIF01301/09/09SECUREPLUS128] / 030-676654-602

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN
Chief Finance Officer**Note:**

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- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : TYASEER [ULIF01401/09/09TYASEERFND128] /

Statement of Down Graded Investments

030-676654-603

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Full Name and Designation : MR. G.VAIDYANATHAN

Chief Finance Officer

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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : WEALTH CREATOR

[ULIF00620/12/07WEALTHCRTR128] / 030-391403-601

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

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Date : 30-10-2013

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Full Name and Designation : MR. G.VAIDYANATHAN

Chief Finance Officer

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FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : BALANCER [ULIF01707/01/10BALANCRFND128] /
030-142285-601

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	1.20	07/06/2011	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

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Date : 30-10-2013

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FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : DEFENDER [ULIF01607/01/10DEFENDRFND128] /

Statement of Down Graded Investments

030-054803-602

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	0.40	07/06/2011	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

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FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : PRESERVER [ULIF01507/01/10PRSERVRFND128]

Statement of Down Graded Investments

/ 030-054803-603

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	2.00	04/01/2013	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

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Signature :

Date : 30-10-2013

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative
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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2013

Name of Fund : PROTECTOR [ULIF00520/12/07PROTECTFND128]
/ 030-177711-603

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	10.20% TATASTEEL DB 07-05-	ECOS	0.40	23/09/2011	CRISIL	AAA (IND)	AA (IND)	03/04/2009	

CERTIFICATION

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Signature :

Date : 30-10-2013

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